



8011-01p

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-82503; File Nos. SR-BatsBYX-2017-11; SR-BatsBZX-2017-38; SR-BatsEDGA-2017-13; SR-BatsEDGX-2017-22; SR-BOX-2017-16; SR-BX-2017-023; SR-C2-2017-017; SR-CBOE-2017-040; SR-CHX-2017-08; SR-FINRA-2017-011; SR-GEMX-2017-17; SR-IEEX-2017-16; SR-ISE-2017-45; SR-MIAX-2017-18; SR-MRX-2017-04; SR-NASDAQ-2017-046; SR-NYSE-2017-22; SR-NYSEArca-2017-52; SR-NYSEMKT-2017-26; SR-PEARL-2017-20; SR-PHLX-2017-37]

Self-Regulatory Organizations; Bats BYX Exchange, Inc. (n/k/a Cboe BYX Exchange, Inc.), Bats BZX Exchange, Inc. (n/k/a Cboe BZX Exchange, Inc.), Bats EDGA Exchange, Inc. (n/k/a Cboe EDGA Exchange, Inc.), Bats EDGX Exchange, Inc. (n/k/a Cboe EDGX Exchange, Inc.), BOX Options Exchange LLC, C2 Options Exchange, Incorporated (n/k/a Cboe C2 Options Exchange, Inc.), Chicago Board Options Exchange, Incorporated (n/k/a Cboe Exchange, Inc.), Chicago Stock Exchange, Inc., Financial Industry Regulatory Authority, Inc., Investors' Exchange LLC, Miami International Securities Exchange, LLC, MIAX PEARL, LLC, Nasdaq BX, Inc., Nasdaq GEMX, LLC, Nasdaq ISE, LLC, Nasdaq MRX, LLC, Nasdaq PHLX LLC, The Nasdaq Stock Market LLC, New York Stock Exchange LLC, NYSE Arca, Inc. and NYSE MKT LLC (n/k/a NYSE American LLC); Notice of Withdrawal of Proposed Rule Changes, as Modified by Amendments, to Establish Fees for Industry Members to Fund the Consolidated Audit Trail

January 16, 2018.

On May 1, 2017,¹ May 2, 2017,² May 3, 2017,³ May 8, 2017,⁴ May 9, 2017,⁵ May 10, 2017,⁶ May 12, 2017,⁷ May 15, 2017,⁸ May 16, 2017,⁹ and May 23, 2017,¹⁰ Bats BYX

¹ Miami International Securities Exchange, LLC and MIAX PEARL LLC filed their proposed rule changes on May 1, 2017.

² The Nasdaq Stock Market LLC and Nasdaq BX, Inc. filed their proposed rule changes on May 2, 2017.

³ Chicago Stock Exchange, Inc. filed its proposed rule change on May 3, 2017.

⁴ Financial Industry Regulatory Authority, Inc. filed its proposed rule change on May 8, 2017.

⁵ Investors Exchange LLC originally filed its proposed rule change on May 3, 2017 under File No. SR-IEEX-2017-13, and subsequently withdrew that filing and filed a proposed rule change on May 9, 2017.

⁶ The New York Stock Exchange LLC, NYSE Arca, Inc. and NYSE MKT LLC filed their proposed rule changes on May 10, 2017.

⁷ Nasdaq GEMX LLC, Nasdaq ISE, LLC, Nasdaq MRX, LLC and Nasdaq PHLX LLC originally filed their proposed rule changes on May 3, 2017 under File Nos. SR-GEMX-

Exchange, Inc. (“Bats BYX”) (n/k/a Cboe BYX Exchange, Inc.),¹¹ Bats BZX Exchange, Inc. (“Bats BZX”) (n/k/a Cboe BZX Exchange, Inc.),¹² Bats EDGA Exchange, Inc. (“Bats EDGA”) (n/k/a Cboe EDGA Exchange, Inc.),¹³ Bats EDGX Exchange, Inc. (“Bats EDGX”) (n/k/a Cboe EDGX Exchange, Inc.),¹⁴ BOX Options Exchange LLC (“BOX”), C2 Options Exchange, Incorporated (“C2”) (n/k/a Cboe C2 Options Exchange, Inc.),¹⁵ Chicago Board Options

2017-11, SR-ISE-2017-40, SR-MRX-2017-03, and SR-PHLX-2017-35, and subsequently withdrew those filings and filed proposed rule changes on May 12, 2017.

⁸ BOX Options Exchange LLC originally filed its proposed rule change on May 11, 2017 under File No. SR-BOX-2017-15, and subsequently withdrew that filing and filed a proposed rule change on May 15, 2017.

⁹ Bats BYX Exchange, Inc., C2 Options Exchange, Incorporated and Chicago Board Options Exchange, Incorporated filed their proposed rule changes on May 16, 2017. Bats EDGA Exchange, Inc. originally filed its proposed rule change on May 5, 2017 under File No. SR-BatsEDGA-2017-11, and subsequently withdrew that filing on May 11, 2017 and filed a proposed rule change on May 16, 2017.

¹⁰ Bats BZX Exchange, Inc. filed its proposed rule changes on May 23, 2017. Bats EDGX Exchange, Inc. originally filed its proposed rule change on May 5, 2017 under File No. SR-BatsEDGX-2017-20, and subsequently withdrew that filing on May 10, 2017 and filed a proposed rule change on May 23, 2017.

¹¹ See Securities Exchange Act Release No. 81952 (October 26, 2017), 82 FR 50725 (November 1, 2017). The name change was not yet effective when Bats BYX filed SR-BatsBYX-2017-11.

¹² See Securities Exchange Act Release No. 81962 (October 26, 2017), 82 FR 50711 (November 1, 2017). The name change was not yet effective when Bats BZX filed SR-BatsBZX-2017-38.

¹³ See Securities Exchange Act Release No. 81957 (October 26, 2017), 82 FR 50716 (November 1, 2017). The name change was not yet effective when Bats EDGA filed SR-BatsEDGA-2017-13.

¹⁴ See Securities Exchange Act Release No. 81963 (October 26, 2017), 82 FR 50697 (November 1, 2017). The name change was not yet effective when Bats EDGX filed SR-BatsEDGX-2017-22.

¹⁵ See Securities Exchange Act Release No. 81979 (October 30, 2017), 82 FR 51317 (November 3, 2017). The name change was not yet effective when C2 filed SR-C2-2017-017.

Exchange, Incorporated (“CBOE”) (n/k/a Cboe Exchange, Inc.),¹⁶ Chicago Stock Exchange, Inc. (“CHX”), Financial Industry Regulatory Authority, Inc. (“FINRA”), Investors Exchange LLC (“IEX”), Nasdaq ISE, LLC (“ISE”), Miami International Securities Exchange, LLC (“MIAX”), MIAX PEARL, LLC (“PEARL”), Nasdaq BX, Inc. (“BX”), Nasdaq GEMX, LLC (“GEMX”), Nasdaq MRX, LLC (“MRX”), Nasdaq PHLX LLC (“Phlx”), The Nasdaq Stock Market LLC (“Nasdaq”), New York Stock Exchange LLC (“NYSE”), NYSE Arca, Inc. (“NYSE Arca”) and NYSE MKT LLC (“NYSE MKT”) (n/k/a NYSE American LLC)¹⁷ (collectively, the “Participants”) filed with the Securities and Exchange Commission (the “Commission”), pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)¹⁸ and Rule 19b-4 thereunder,¹⁹ proposed rule changes to adopt fees to be charged to Industry Members to fund the Consolidated Audit Trail (collectively the “Original Proposed Rule Changes”).²⁰ The Original Proposed Rule Changes were immediately effective upon filing with the Commission pursuant to Section 19(b)(3)(A) of the Act.²¹ The proposed rule changes submitted by MIAX and PEARL

¹⁶ See Securities Exchange Act Release No. 81981 (October 30, 2017), 82 FR 51309 (November 3, 2017). The name change was not yet effective when CBOE filed SR-CBOE-2017-040.

¹⁷ See Securities Exchange Act Release No. 80283 (March 21, 2017), 82 FR 15244 (March 27, 2017). The name change was not yet effective when NYSE MKT filed SR-NYSEMKT-2017-26.

¹⁸ 15 U.S.C. 78s(b)(1).

¹⁹ 17 CFR 240.19b-4.

²⁰ See infra notes 22-28. The National Market System Plan Governing the Consolidated Audit Trail (“CAT NMS Plan”) was published for comment in the Federal Register on May 17, 2016, and approved by the Commission, as modified, on November 15, 2016. See Securities Exchange Act Release Nos. 77724 (April 27, 2016), 81 FR 30614 (May 17, 2016); 79318 (November 15, 2016), 81 FR 84696 (November 23, 2016).

²¹ 15 U.S.C. 78s(b)(3)(A). A proposed rule change may take effect upon filing with the Commission if it is designated by the exchange as “establishing or changing a due, fee, or other charge imposed by the self-regulatory organization on any person, whether or not the person is a member of the self-regulatory organization.” 15 U.S.C. 78s(b)(3)(A)(ii).

were published for comment in the Federal Register on May 19, 2017.²² The proposed rule changes submitted by BX, CHX, IEX, Nasdaq, NYSE, NYSE Arca and NYSE MKT were published for comment in the Federal Register on May 22, 2017.²³ The proposed rule change submitted by FINRA was published for comment in the Federal Register on May 23, 2017.²⁴ The proposed rule changes submitted by BOX, GEMX, ISE, MRX and Phlx were published for comment in the Federal Register on May 24, 2017.²⁵ The proposed rule changes submitted by C2, CBOE and Bats EDGA were published for comment in the Federal Register on June 1, 2017.²⁶ The proposed rule change submitted by Bats BYX was published for comment in the Federal Register on June 5, 2017.²⁷ The proposed rule changes submitted by Bats BZX and Bats

²² See Securities Exchange Act Release Nos. 80675 (May 15, 2017), 82 FR 23100 (May 19, 2017) (SR-MIAX-2017-18); and 80676 (May 15, 2017), 82 FR 23083 (May 19, 2017) (SR-PEARL-2017-20).

²³ See Securities Exchange Act Release Nos. 80697 (May 16, 2017), 82 FR 23398 (May 22, 2017) (SR-BX-2017-023); 80691 (May 16, 2017), 82 FR 23344 (May 22, 2017) (SR-CHX-2017-08); 80692 (May 16, 2017), 82 FR 23325 (May 22, 2017) (SR-IEX-2017-16); 80696 (May 16, 2017), 82 FR 23439 (May 22, 2017) (SR-NASDAQ-2017-046); 80693 (May 16, 2017), 82 FR 23363 (May 22, 2017) (SR-NYSE-2017-22); 80698 (May 16, 2017), 82 FR 23457 (May 22, 2017) (SR-NYSEArca-2017-52); and 80694 (May 16, 2017), 82 FR 23416 (May 22, 2017) (SR-NYSEMKT-2017-26).

²⁴ See Securities Exchange Act Release No. 80710 (May 17, 2017), 82 FR 23639 (May 23, 2017) (SR-FINRA-2017-011).

²⁵ See Securities Exchange Act Release Nos. 80721 (May 18, 2017), 82 FR 23864 (May 24, 2017) (SR-BOX-2017-16); 80713 (May 18, 2017), 82 FR 23956 (May 24, 2017) (SR-GEMX-2017-17); 80715 (May 18, 2017), 82 FR 23895 (May 24, 2017) (SR-ISE-2017-45); 80726 (May 18, 2017), 82 FR 23915 (May 24, 2017) (SR-MRX-2017-04); and 80725 (May 18, 2017), 82 FR 23935 (May 24, 2017) (SR-PHLX-2017-37).

²⁶ See Securities Exchange Act Release Nos. 80786 (May 26, 2017), 82 FR 25474 (June 1, 2017) (SR-C2-2017-017); 80785 (May 26, 2017), 82 FR 25404 (June 1, 2017) (SR-CBOE-2017-040); and 80784 (May 26, 2017), 82 FR 25448 (June 1, 2017) (SR-BatsEDGA-2017-13).

²⁷ See Securities Exchange Act Release No. 80809 (May 30, 2017), 82 FR 25837 (June 5, 2017) (SR-BatsBYX-2017-11).

EDGX were published for comment in the Federal Register on June 6, 2017.²⁸ The Commission received seven comment letters on the Original Proposed Rule Changes,²⁹ and a response to comments from the Participants.³⁰ On June 30, 2017, the Commission temporarily suspended and initiated proceedings to determine whether to approve or disapprove the proposed rule changes.³¹ The Commission thereafter received seven comment letters,³² and a response to

²⁸ See Securities Exchange Act Release Nos. 80822 (May 31, 2017), 82 FR 26148 (June 6, 2017) (SR-BatsBZX-2017-38); and 80821 (May 31, 2017), 82 FR 26177 (June 6, 2017) (SR-BatsEDGX-2017-22).

²⁹ Since the Participants' proposed rule changes to adopt fees to be charged to Industry Members to fund the Consolidated Audit Trail are substantively identical, the Commission considered all comments received on the proposed rule changes regardless of the comment file to which they were submitted. See Letter from Theodore R. Lazo, Managing Director and Associate General Counsel, Securities Industry and Financial Markets Association, to Brent J. Fields, Secretary, Commission (dated June 6, 2017), available at: <https://www.sec.gov/comments/sr-batsbzx-2017-38/batsbzx201738-1788188-153228.pdf>; Letter from Patricia L. Cerny and Steven O'Malley, Compliance Consultants, to Brent J. Fields, Secretary, Commission (dated June 12, 2017), available at: <https://www.sec.gov/comments/sr-cboe-2017-040/cboe2017040-1799253-153675.pdf>; Letter from Daniel Zinn, General Counsel, OTC Markets Group Inc., to Eduardo A. Aleman, Assistant Secretary, Commission (dated June 13, 2017), available at: <https://www.sec.gov/comments/sr-finra-2017-011/finra2017011-1801717-153703.pdf>; Letter from Joanna Mallers, Secretary, FIA Principal Traders Group, to Brent J. Fields, Secretary, Commission (dated June 22, 2017), available at: <https://www.sec.gov/comments/sr-cboe-2017-040/cboe2017040-1819670-154195.pdf>; Letter from Stuart J. Kaswell, Executive Vice President and Managing Director, General Counsel, Managed Funds Association, to Brent J. Fields, Secretary, Commission (dated June 23, 2017), available at: <https://www.sec.gov/comments/sr-finra-2017-011/finra2017011-1822454-154283.pdf>; and Letter from Suzanne H. Shatto, Investor, to Commission (dated June 27, 2017), available at: <https://www.sec.gov/comments/sr-batsedgx-2017-22/batsedgx201722-154443.pdf>. The Commission also received a comment letter which is not pertinent to these proposed rule changes. See Letter from Christina Crouch, Smart Ltd., to Brent J. Fields, Secretary, Commission (dated June 5, 2017), available at: <https://www.sec.gov/comments/sr-batsbzx-2017-38/batsbzx201738-1785545-153152.htm>.

³⁰ See Letter from CAT NMS Plan Participants to Brent J. Fields, Secretary, Commission (dated June 29, 2017), available at: <https://www.sec.gov/comments/sr-batsbyx-2017-11/batsbyx201711-1832632-154584.pdf>.

³¹ See Securities Exchange Act Release No. 81067 (June 30, 2017), 82 FR 31656 (July 7, 2017).

comments from the Participants.³³ On October 25, 2017,³⁴ October 31, 2017,³⁵ November 3, 2017,³⁶ November 6, 2017,³⁷ November 7, 2017,³⁸ November 9, 2017³⁹ and December 1, 2017,⁴⁰ the Participants each filed an Amendment No. 1 to their Original Proposed Rule Changes that

³² See Letter from W. Hardy Callcott, Partner, Sidley Austin LLP, to Brent J. Fields, Secretary, Commission (dated July 27, 2017), available at: <https://www.sec.gov/comments/sr-batsbyx-2017-11/batsbyx201711-2148338-157737.pdf>; Letter from Kevin Coleman, General Counsel and Chief Compliance Officer, Belvedere Trading LLC, to Brent J. Fields, Secretary, Commission (dated July 28, 2017), available at: <https://www.sec.gov/comments/sr-batsbyx-2017-11/batsbyx201711-2148360-157740.pdf>; Letter from Joanna Mallers, Secretary, FIA Principal Traders Group, to Brent J. Fields, Secretary, Commission (dated July 28, 2017), available at: <https://www.sec.gov/comments/sr-batsbyx-2017-11/batsbyx201711-2151228-157745.pdf>; Letter from Theodore R. Lazo, Managing Director and Associate General Counsel, SIFMA, to Brent J. Fields, Secretary, Commission (dated July 28, 2017), available at: <https://www.sec.gov/comments/sr-batsbyx-2017-11/batsbyx201711-2150977-157744.pdf>; Letter from Stuart J. Kaswell, Executive Vice President and Managing Director, General Counsel, Managed Funds Association, to Brent J. Fields, Secretary, Commission (dated July 28, 2017), available at: <https://www.sec.gov/comments/sr-batsbyx-2017-11/batsbyx201711-2150818-157743.pdf>; Letter from John Kinahan, Chief Executive Officer, Group One Trading, L.P., to Brent J. Fields, Secretary, Commission (dated August 10, 2017), available at: <https://www.sec.gov/comments/sr-finra-2017-011/finra2017011-2214568-160619.pdf>; Letter from Joseph Molluso, Executive Vice President and CFO, Virtu Financial, to Brent J. Fields, Commission (dated August 18, 2017), available at: <https://www.sec.gov/comments/sr-finra-2017-011/finra2017011-2238648-160830.pdf>.

³³ See Letter from Michael Simon, Chair, CAT NMS Plan Operating Committee, to Brent J. Fields, Commission, Secretary (dated November 2, 2017), available at <https://www.sec.gov/comments/sr-batsbyx-2017-11/batsbyx201711-2674608-161412.pdf>.

³⁴ NYSE, NYSE Arca and NYSE MKT filed Amendment No. 1 to their proposed rule changes on October 25, 2017.

³⁵ IEX filed Amendment No. 1 to its proposed rule change on October 31, 2017.

³⁶ Bats BYX, Bats BZX, Bats EDGA, Bats EDGX, CBOE and C2 filed Amendment No. 1 to their proposed rule changes on November 3, 2017.

³⁷ Nasdaq, BX, Phlx, ISE, MRX, and GEMX filed Amendment No. 1 to their proposed rule changes on November 6, 2017.

³⁸ BOX, MIAX and PEARL filed Amendment No. 1 to their proposed rule changes on November 7, 2017.

³⁹ CHX filed Amendment No. 1 to its proposed rule change on November 9, 2017.

⁴⁰ FINRA filed Amendment No. 1 to its proposed rule change on December 1, 2017.

replaced and superseded the Original Proposed Rule Changes that had been temporarily suspended by the Commission. On November 9, 2017, the Commission extended the time period for Commission action on the proceedings to determine whether to approve or disapprove the proposed rule changes.⁴¹ On November 29, 2017,⁴² November 30, 2017,⁴³ December 1, 2017,⁴⁴ December 4, 2017,⁴⁵ December 5, 2017,⁴⁶ December 6, 2017⁴⁷ and December 7, 2017,⁴⁸ the Participants, except FINRA, each filed Amendment No. 2 to their proposed rule changes.⁴⁹ The amended proposed rule changes submitted by CHX and FINRA were published for

⁴¹ See Securities Exchange Act Release No. 82049 (November 9, 2017), 82 FR 53549 (November 16, 2017).

⁴² NYSE, NYSE MKT and NYSE Arca filed Amendment No. 2 to their proposed rule changes on November 29, 2017.

⁴³ CHX filed Amendment No. 2 to its proposed rule change on November 30, 2017.

⁴⁴ MIAX and PEARL filed Amendment No. 2 to their proposed rule changes on December 1, 2017.

⁴⁵ NASDAQ, Phlx and IEX filed Amendment No. 2 to their proposed rule changes on December 4, 2017.

⁴⁶ ISE filed Amendment No. 2 to its proposed rule change on December 5, 2017.

⁴⁷ GEMX and MRX filed Amendment No. 2 to their proposed rule changes on December 6, 2017.

⁴⁸ BX, BOX, Bats EDGA, C2, CBOE, Bats BYX, Bats EDGX, and Bats BZX filed Amendment No. 2 to their proposed rule changes on December 7, 2017.

⁴⁹ Amendment No. 2 to the proposed rule changes proposed to make a correction to the Amendment No. 1 fee schedule to change a parenthetical regarding the OTC Equity Securities discount in paragraph (b)(2) of the proposed fee schedule from “with a discount for Equity ATSS exclusively trading OTC Equity Securities based on the average shares per trade ratio between NMS Stocks and OTC Equity Securities” to “with a discount for OTC Equity Securities market share of Equity ATSS trading OTC Equity Securities based on the average shares per trade ratio between NMS Stocks and OTC Equity Securities.” NASDAQ, Phlx, ISE, GEMX, MRX and BX filed an Amendment No. 2 that replaced and superseded Amendment No. 1 to their proposed rule changes. FINRA did not file Amendment No. 2 to its proposed rule change because its Amendment No. 1 did not need the correction made by the other Participants’ Amendment No. 2.

comment in the Federal Register on December 14, 2017.⁵⁰ The amended proposed rule changes submitted by PEARL, MIAX, IEX, NYSE, NYSE MKT, NYSE Arca, BOX, Bats EDGA, C2, CBOE, Bats BYX, Bats EDGX, Bats BZX, ISE, BX, Nasdaq, GEMX, MRX, and Phlx were published for comment in the Federal Register on December 14, 2017⁵¹ and December 15, 2017.⁵² The Commission received two comment letters on the amended proposed rule changes.⁵³

⁵⁰ See Securities Exchange Act Release Nos. 82251 (December 8, 2017), 82 FR 59151 (December 14, 2017); 82252 (December 8, 2017), 82 FR 59037 (December 14, 2017); 82253 (December 8, 2017), 82 FR 58827 (December 14, 2017).

⁵¹ See Securities Exchange Act Release Nos. 82254 (December 11, 2017), 82 FR 59094 (December 14, 2017); 82256 (December 11, 2017), 82 FR 59004 (December 14, 2017); 82258 (December 11, 2017), 82 FR 58917 (December 14, 2017); 82262 (December 11, 2017), 82 FR 59122 (December 14, 2017); 82264 (December 11, 2017), 82 FR 58971 (December 14, 2017); 82268 (December 11, 2017), 82 FR 58854 (December 14, 2017); 82274 (December 11, 2017), 82 FR 59177 (December 14, 2017); 82284 (December 11, 2017), 82 FR 58891 (December 14, 2017); 82285 (December 11, 2017), 82 FR 59040 (December 14, 2017); 82286 (December 11, 2017), 82 FR 59067 (December 14, 2017); and 82288 (December 11, 2017), 82 FR 58944 (December 14, 2017).

⁵² See Securities Exchange Act Release Nos. 82255 (December 11, 2017), 82 FR 59841 (December 15, 2017); 82257 (December 11, 2017), 82 FR 59835 (December 15, 2017); 82259 (December 11, 2017), 82 FR 59933 (December 15, 2017); 82260 (December 11, 2017), 82 FR 59907 (December 15, 2017); 82261 (December 11, 2017), 82 FR 59897 (December 15, 2017); 82263 (December 11, 2017), 82 FR 59838 (December 15, 2017); 82265 (December 11, 2017), 82 FR 59723 (December 15, 2017); 82266 (December 11, 2017), 82 FR 59779 (December 15, 2017); 82267 (December 11, 2017), 82 FR 59680 (December 15, 2017); 82269 (December 11, 2017), 82 FR 59902 (December 15, 2017); 82270 (December 11, 2017), 82 FR 59805 (December 15, 2017); 82271 (December 11, 2017), 82 FR 59833 (December 15, 2017); 82272 (December 11, 2017), 82 FR 59871 (December 15, 2017); 82273 (December 11, 2017), 82 FR 59683 (December 15, 2017); 82275 (December 11, 2017), 82 FR 59721 (December 15, 2017); 82276 (December 11, 2017), 82 FR 59753 (December 15, 2017); 82277 (December 11, 2017), 82 FR 59905 (December 15, 2017); 82278 (December 11, 2017), 82 FR 59726 (December 15, 2017); 82279 (December 11, 2017), 82 FR 59900 (December 15, 2017); 82283 (December 11, 2017), 82 FR 59694 (December 15, 2017); and 82287 (December 11, 2017), 82 FR 59844 (December 15, 2017).

⁵³ See Letter from Theodore R. Lazo, Managing Director and Associate General Counsel, Securities Industry and Financial Markets Association, to Brent J. Fields, Secretary, Commission (dated December 22, 2017), available at <https://www.sec.gov/comments/sr->

On January 9, 2018, CHX, MIAX and PEARL withdrew their proposed rule changes (SR-CHX-2017-08; SR-MIAX-2017-18; SR-PEARL-2017-20). On January 10, 2018, Bats BYX, Bats BZX, Bats EDGA, Bats EDGX, BX, C2, CBOE, GEMX, IEX, ISE, MRX, Nasdaq and Phlx withdrew their proposed rule changes (SR-BatsBYX-2017-11; SR-BatsBZX-2017-38; SR-BatsEDGA-2017-13; SR-BatsEDGX-2017-22; SR-BX-2017-023; SR-C2-2017-017; SR-CBOE-2017-040; SR-GEMX-2017-17; SR-IEX-2017-16; SR-ISE-2017-45; SR-MRX-2017-04; SR-NASDAQ-2017-046; SR-PHLX-2017-37). On January 11, 2018, BOX, FINRA, NYSE, NYSE Arca, and NYSE MKT withdrew their proposed rule changes (SR-BOX-2017-16; SR-FINRA-2017-011; SR-NYSE-2017-22; SR-NYSEArca-2017-52; SR-NYSEMKT-2017-26).

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.⁵⁴

Eduardo A. Aleman,

Assistant Secretary.

batsbyx-2017-11/batsbyx201711-2838733-161715.pdf; Letter from Joanna Mallers, Secretary, FIA Principal Traders Group, to Brent J. Fields, Secretary, Commission (dated January 12, 2018), available at <https://www.sec.gov/comments/sr-cboe-2017-040/cboe2017040-2902365-161828.pdf>.

⁵⁴ 17 CFR 200.30-3(a)(31).

[FR Doc. 2018-00974 Filed: 1/19/2018 8:45 am; Publication Date: 1/22/2018]